PAGE 1 / 156

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	or Other Than An Autho	orized Committee	Office U	Jse Only
NAME OF T COMMITTEE (in full)	YPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
The Caterpillar Inc. Emp	oloyee Political Action	Committee		
ADDRESS (number and street)	100 N.E. Adams Street			
▼ Check if different				
than previously reported. (ACC)	Peoria		IL 6162	9-1430
2. FEC IDENTIFICATION NUM	MBER ▼ CITY	A	STATE ▲	ZIP CODE ▲
C C00148031	3. IS	THIS NEW PORT (N) OR	AMENDED (A)	
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	0 (M2) May 20 (M	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 2	20 (M3) x Jun 20 (M6	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15		0 (M4) Jul 20 (M7)	Oct 20 (M10)	Jan 31 (YE)
Quarterly Report (Q1 July 15 Quarterly Report (Q2)	(C) 12-Day	Primary (12P)	General (12G)	Runoff (12R)
Quarterly Report (Q2 October 15	Report for the:	Convention (12C)	Special (12S)	
Quarterly Report (Q3 January 31	Flacking	M = M / D = D /	Y W Y W Y	in the State of
Year-End Report (YE July 31 Mid-Year Report (Non-election	(d) 30-Day		Dunoff (20D)	
Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election	on	Y Y Y Y Y	in the State of
5. Covering Period 05	01 2017	through 05		017
I certify that I have examined this		ny knowledge and belief it is	true, correct and comple	ete.
Type or Print Name of Treasurer	Cordani, Benjamin, , ,			
Signature of Treasurer	i, Benjamin, , ,	[Electronically Filed]	Date 07 / 19	9 2017
NOTE: Submission of false, erroned	us, or incomplete information	may subject the person signing	this Report to the penalt	ties of 52 U.S.C. § 30109
Office Use				C FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 05 01 2017 To: 05 31 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand January 1, 2017		362537.41				
	(b) Cash on Hand at Beginning of Reporting Period	387446.64					
	(c) Total Receipts (from Line 19)	67064.28	324627.58				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	454510.92	687164.99				
7.	Total Disbursements (from Line 31)	79559.25	312213.32				
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	374951.67	374951.67				
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

I. Receipts	COLUMN A	COLUMN B
<u> </u>	Total This Period	Calendar Year-to-Date
Contributions (other than loans) From: (a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	50256.38	191995.28
(i) Normassa (ass soriosadis ryiiiiiiiiiii	4 1 4 1 4 1 4	
(ii) Unitemized	16804.37	132615.70
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	67060.75	324610.98
	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	49. 49. 10.	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	67060.75	324610.98
Transfers From Affiliated/Other	4 1 4	
Party Committees	0.00	0.00
. All Loans Received	0.00	0.00
_		
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made		
to Federal Candidates and Other Political Committees	0.00	0.00
Other Federal Receipts	0.00	0.00
(Dividends, Interest, etc.)	3.53	16.60
Transfers from Non-Federal and Levin Funds	0.00	10.00
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
=	4	
(b) Levin Funds (from Schedule H5)	0.00	0.00
	4	4 4
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	67064.28	324627.58
Total Foderal Procints		
. Total Federal Receipts	67064.28	224027.50
(subtract Line 18(c) from Line 19)▶	07004.20	324627.58

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)	10 1 1 01100	Jaionali Tear-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	14.25	74.10
(c) Total Operating Expenditures		1 1 1 1 1 1 1 1 1
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	14.25	74.10
CommitteesContributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	76000.00	302000.00
Independent Expenditures (use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))		4 1 4 1 4 1 4
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	45.00	139.22
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	45.00	139.22
Other Disbursements (Including		
Non-Federal Donations)	3500.00	10000.00
Federal Election Activity (52 U.S.C. § 30101(20) (a) Allocated Federal Election Activity (from Schedule H6)))	
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Dishurasments (add Lines 21/5), 22	4 4 1 4 1 4 1	7 7 7
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	79559.25	312213.32
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	79559.25	212212 22
from Line 31)	79559.25	312213.32

DETAILED SUMMARY PAGE

of Disbursements

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	67060.75	324610.98			
4. Total Contribution Refunds (from Line 28(d))	45.00	139.22			
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	67015.75	324471.76			
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	14.25	74.10			
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00			
3. Net Operating Expenditures (subtract Line 37 from Line 36)	14.25	74.10			

: 97 A = G7 9 @ 5 B9 CI G'H9 LHF9 @ 5 H98 'HC' 5 F9 DCFHz G7 < 98 I @ 'CF' ± H9 A ± N5 H± CB

Form/Schedule: F3XA Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: F3XA Transaction ID:

This report is being amended to disclose adjusted itemized amount (line 11a(i)) as well as different total receipts. There are no other changes to the report.

FOR LINE NUMBER: **PAGE** 7 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465235 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 104.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 547.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464963 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 51.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 234.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aguirre, lan, , , Date of Receipt Mailing Address Building H Dock 13 2017 City State Zip Code Transaction ID: A2017-1464156 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 121.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 595.60 Other (specify) 277.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Use separate schedule(s)

F	FOR LINE NUMBER:				PAGE	-	Ø	OF		130	
(check only one)											
	×	11a		11b		11c		12			
		13		14		15		16	6		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alexander, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464380 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rental & Used Operations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Allen, Alison, , , Date of Receipt Mailing Address 500 N Morton Ave 05 2017 City State Zip Code Transaction ID: A2017-1465190 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Rep II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Allen, Michael, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464319 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 77.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 378.98 Other (specify) 227.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS		for each category of the Detailed Summary Page	11a
Any information copied from such Reports and Sta or for commercial purposes, other than using the			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical A	ction Committee	
Full Name of Individual (Last, First, Middle Initi A. Anderson, Mathew, , , Mailing Address 2120 West End Avenue P O Box 340001 City Nashville FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	State TN C Occ Cor Aggregate	Zip Code 37203 cupation (for Individual) reporate Counsel Year-to-Date 926.16	Date of Receipt Mark 2017 2017 Transaction ID : A2017-1465021 Amount of Each Receipt this Period 188.52 Memo Item
Full Name of Individual (Last, First, Middle Initial Anderson, Michael, , , Mailing Address 1656 Livingstone Road City Hudson FEC ID number of contributing federal political committee. Name of Employer (for Individual) Progress Rail Services Receipt For: Primary General Other (specify)	State WI	Zip Code 54016 Supation (for Individual) nager I Signal Engineering Year-to-Date 250.00	Date of Receipt 11
Full Name of Individual (Last, First, Middle Initial Anderson, Michael, , , Mailing Address 1656 Livingstone Road City Hudson FEC ID number of contributing federal political committee. Name of Employer (for Individual) Progress Rail Services Receipt For: Primary General Other (specify)	State WI C Occ Mar	Zip Code 54016 Zip Code 54016 Eupation (for Individual) mager I Signal Engineering Year-to-Date 275.00	Date of Receipt M
SUBTOTAL of Receipts This Page (optional)		>	238.52
TOTAL This Period (last page this line number of	nly)		

FOR LINE NUMBER: PAGE 10 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City Zip Code State Transaction ID: A2017-1464382 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 64.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 316.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464786 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID : A2017-1464193 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 161.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Supply Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 799.36 Other (specify) 326.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

156

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 2017 City Zip Code State Transaction ID: A2017-1149818 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing C 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 488.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 2017 City State Zip Code Transaction ID: A2017-1149845 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 538.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ball, William, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464607 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 885.50 Other (specify) 277.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bankes, Chester, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1464596 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 61.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineering Supv 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465210 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 113.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 555.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beals, Andrew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464851 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 47.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 228.79 Other (specify) 221.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:						PAGE		13 OF		156
	(0	(check only one)									
		X	11a		11b		11c		12		
			13		14		15		16		17
not be sold or used by any person for the purpose of soliciting contributions											

Any information copied from such Reports and Statements may or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464131 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Credit Analyst Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 05 2017 City State Zip Code Transaction ID: A2017-1464066 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Analytics Capabilities Div Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Becker, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1464079 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Occupation (for Individual) Name of Employer (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Belcher, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464402 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beldock, Teresa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1465045 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 87.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Material Req Plng Supv 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 424.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bennes, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464608 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 198.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 955.04 Other (specify) 335.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 15 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Berkebile, Stephen, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City Zip Code State Transaction ID: A2017-1464568 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 178.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 880.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2017 Bldg A City State Zip Code Transaction ID: A2017-1464083 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 43.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 212.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blichmann, Mary, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1464443 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 271.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1464699 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 238.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464998 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 87.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Programs Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 431.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bluth, Thomas, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-1464770 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 552.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bowman, Gregory, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464824 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 55.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 274.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bradbury, Walter, , , Date of Receipt Mailing Address 100 NE Adams 2017 Private Mail Bag 4 City State Zip Code Transaction ID: A2017-1464587 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 372.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 11 2017 City State Zip Code Transaction ID: A2017-1147727 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 32.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 314.74 Other (specify) 162.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 2017 City Zip Code State Transaction ID: A2017-1147739 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 32.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 347.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Braun, Eric, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1464902 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464781 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 220.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1074.59 Other (specify) 302.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464705 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 105.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 522.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Briscoe, Kendall, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1465219 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Communications Supv Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brooks, Jennifer, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464086 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 56.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Project Team Leadr** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.91 Other (specify) 204.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2017 City Zip Code State Transaction ID: A2017-1464993 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 138.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 670.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Buob, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464656 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Excellence Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 700.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1464173 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 63.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Mgmt Developer III Receipt For: Aggregate Year-to-Date ▼ Primary General 313.70 Other (specify) 226.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149832 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149860 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calamari, Michael, A., Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City Zip Code State Transaction ID: A2017-1464586 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 91.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 452.80 Other (specify) 141.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Callear, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464272 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Proc Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Amy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464747 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carballido, James, A, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464322 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 63.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Information Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 312.95 Other (specify) 163.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carballido, Marci, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1464446 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Carlberg, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464600 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 192.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 949.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City Zip Code State Transaction ID: A2017-1465209 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 168.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 822.86 Other (specify) 410.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Robert, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2017 City State Zip Code Transaction ID: A2017-1465053 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1464695 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464512 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 117.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 574.95 Other (specify) 633.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 25 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465033 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 883.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chadwick, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1464051 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chen, Xiao, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1464297 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 167.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 816.21 Other (specify) 398.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 26 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chism, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464961 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Commercial Consultant II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Chouinard, Shelley, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464430 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christophe, Andre, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1464757 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glb Ops Mg Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 145.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ciko, John, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2017 City State Zip Code Transaction ID: A2017-1464159 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineer-Development/Res Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Aaron, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1465081 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 186.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 913.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clark, Paul, , , Date of Receipt Mailing Address 11601 93rd Ave N 2017 City State Zip Code Transaction ID: A2017-1464584 MN Maple Grove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Global Paving Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 278.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 28 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464506 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cochran, Wayne, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1465039 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 41.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 206.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cole, Keri, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID: A2017-1465002 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1608.97 Other (specify) 608.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 29 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cone, Beth, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464350 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 120.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Team Leader Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 590.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Conley, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1464719 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coon, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464794 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 94.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 464.41 Other (specify) 264.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 30 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Copeland, Jananne, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464634 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Accounting Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cordani, Benjamin, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 05 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1464160 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 280.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Covey, Kristen, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464205 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Global Business Mgmt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, John, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1464697 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 44.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149847 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Crespo, Frank, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465124 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 481.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2017 City Zip Code State Transaction ID: A2017-1147718 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 338.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2017 City State Zip Code Transaction ID: A2017-1147730 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 372.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Crowell, Thomas, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464686 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 422.26 Other (specify) 154.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Curtis, Teresa,,, Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2017 City Zip Code State Transaction ID: A2017-1464691 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 57.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 284.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID : A2017-1464917 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 55.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Planning Mgr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 271.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daugherty, Tracy, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1464476 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 162.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davidson, Janet, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1465073 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 220.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149840 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1464856 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 45.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Supv 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 223.16 Other (specify) 111.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Diekhoff, Kyle, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2017 City Zip Code State Transaction ID: A2017-1464872 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 200.11 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Disharoon, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465236 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Doherty, Christopher, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 PO Box 1430 City State Zip Code Transaction ID: A2017-1464982 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 42.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 207.66 Other (specify) 292.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 36 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doligale, Suzette, , , Date of Receipt Mailing Address 9301 W. 55th Street 2017 City Zip Code State Transaction ID: A2017-1147736 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Duensing, James, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464599 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dugan, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1464910 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 175.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief of Staff Receipt For: Aggregate Year-to-Date ▼ Primary General 843.13 Other (specify) 612.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 37 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465132 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Healthcare Benefits Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dulaney, Harold, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464735 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 Tianjin Airport Economic Area City Zip Code State Transaction ID: A2017-1464232 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 257.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 38 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464655 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dunlevy, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID : A2017-1464822 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duzinskas, Debra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464752 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Reman Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 39 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams Eastfield 2017 City Zip Code State Transaction ID: A2017-1464767 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Easley, William, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1464859 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464145 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 223.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1101.95 Other (specify) 323.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 40 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 2017 City Zip Code State Transaction ID: A2017-1149831 TX Fort Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 61.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 602.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 05 2017 City State Zip Code Transaction ID: A2017-1149859 Fort Worth TX 76177 Amount of Each Receipt this Period FEC ID number of contributing 61.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 664.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eggemeyer, Jonathan, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464754 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 62.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 302.24 Other (specify) 185.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 41 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name English, Paul, , , Date of Receipt Mailing Address State Rt. 116 E. Spring Creek Rd. 2017 City Zip Code State Transaction ID: A2017-1464308 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 54.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 264.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Evans, Michael, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1464413 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 156.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Faber, David, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1464643 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts Marketing Devlopment Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 311.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 42 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steven, M,, Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464424 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General MgrACS Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fetch, Bonnie, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID : A2017-1464722 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 253.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1237.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Feucht, Joel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464662 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 446.05 Other (specify) 759.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 43 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464855 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 83.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 404.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Folley, Gregory, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1464559 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465042 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 549.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

156

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Charles, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464335 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr IS Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 326.71 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1464948 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 112.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Market Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 557.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frake, Thomas, G, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1464790 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 596.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1465122 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 53.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 258.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frohberg, David, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City State Zip Code Transaction ID: A2017-1464663 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Frye, John, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1464218 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 56.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.76 Other (specify) 159.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fuelberth, David, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City Zip Code State Transaction ID: A2017-1464575 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 119.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 590.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Furness, Deborah, S, , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID : A2017-1464302 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 105.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 519.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gaddis, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464631 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 58.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CMF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 292.00 Other (specify) 283.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 47 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gao, Xiaojian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465223 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 42.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EHS Professional II** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 05 2017 City State Zip Code Transaction ID: A2017-1147723 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 25 2017 City State Zip Code Transaction ID: A2017-1147735 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 92.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaw, Matthew, , , Date of Receipt Mailing Address Building H Dock 13 2017 City Zip Code State Transaction ID: A2017-1464763 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. George, Brian, W,, Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1464106 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gibbons, Justin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID: A2017-1464143 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 262.90 Other (specify) 227.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilbert, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464182 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 81.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Procurement Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 399.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464545 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Labor & Employee Relation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 534.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID: A2017-1465241 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 608.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 50 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1464701 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 175.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 872.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2017 City State Zip Code Transaction ID: A2017-1465114 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 144.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 715.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gorman, Gerald, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464622 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Chief Information Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 736.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 51 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grech, Edward, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465085 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 268.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Greuel, Matthew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 City State Zip Code Transaction ID: A2017-1465084 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 60.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Supv 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gugan, Steven, , , Date of Receipt Mailing Address 6800 Doerr Lane 2017 City State Zip Code Transaction ID: A2017-1465200 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 136.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 672.58 Other (specify) 251.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 52 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

156

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464459 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gusso, Rodrigo, , , Date of Receipt Mailing Address 701 Waterford Way 05 2017 Suite 600 City State Zip Code Transaction ID: A2017-1464950 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 82.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 410.11 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464331 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 114.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 559.45 Other (specify) 347.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 53 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Margaret, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464624 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 823.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464128 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hall, John, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464141 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 243.10 Other (specify) 258.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 54 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Halloran, James, , , Date of Receipt Mailing Address 980 Ninth Street Suite 2200 2017 City State Zip Code Transaction ID: A2017-1464712 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 890.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Halverson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1464652 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group President & CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 2017 City State Zip Code Transaction ID: A2017-1464134 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Worldwide Sales & Mrkt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 693.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 55 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harms, Daniel, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City Zip Code State Transaction ID: A2017-1464062 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Harrell, Russell, D,, Date of Receipt Mailing Address 600 W Washington St 2017 City State Zip Code Transaction ID: A2017-1464602 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hart, Andrea, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464216 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 145.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 706.66 Other (specify) 745.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 56 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

156

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 2017 City Zip Code State Transaction ID: A2017-1149826 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Sales & Marketing **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 252.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 2017 City State Zip Code Transaction ID: A2017-1149853 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **Director Sales & Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 277.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hasinger, Sylvia, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464162 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group/Regional HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 57 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464414 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 170.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 843.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hassiepen, John, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1464640 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 231.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1142.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149839 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 421.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

156

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1465147 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 168.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Intn'l & Fed Gov Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 827.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heiar, Keith, J, , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1464549 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 152.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MH&U Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 741.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heitzman, Robert, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464621 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 370.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 59 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Helgren, Mark,,, Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City State Zip Code Transaction ID: A2017-1465014 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 134.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 658.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henley, David, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID : A2017-1464766 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Sys Intgr Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henry, Christopher, , , Date of Receipt Mailing Address 250 Dozer Drive 2017 City State Zip Code Transaction ID: A2017-1465046 GΑ Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 96.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 469.55 Other (specify) 280.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 60 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henry, Michael, , , Date of Receipt Mailing Address 1901 S. First Street 2017 City Zip Code State Transaction ID: A2017-1464879 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing C 91.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 447.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herbert, David, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464054 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Herman, Jason, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464972 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 53.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 257.97 Other (specify) 194.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 61 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City State Zip Code Transaction ID: A2017-1465238 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464657 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 348.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** High, Lance, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464774 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CECO & Grp. General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 586.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Crystal, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464744 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct II Legal Entity Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 271.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hill, Mark, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1464210 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 44.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 213.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 600 W Washington St 2017 City State Zip Code Transaction ID : A2017-1464188 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 181.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 897.49 Other (specify) 280.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hirabayashi, Kai, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1465217 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 Kingsbury 05 2017 City State Zip Code Transaction ID: A2017-1465041 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 47.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Performance Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hockaday, Rex, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1464374 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 197.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 64 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464356 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoefft, Timothy, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2017 Tech Center Bldg K City State Zip Code Transaction ID: A2017-1464769 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoeft, David, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465061 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 178.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 859.66 Other (specify) 278.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 65 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

156

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoerr, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City Zip Code State Transaction ID: A2017-1464212 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Holland, Sally, Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID : A2017-1464432 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 63.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 238.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464975 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Customer Solutions Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 613.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 66 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Holt, William, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1464127 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 70.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 342.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Horton, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1465001 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Huang, Wayne, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464903 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Audit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 290.00 Other (specify) 101.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hupe, Walter, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1464592 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Husar, Lucian, M, , Date of Receipt Mailing Address 9401 85Th Avenue North 2017 City State Zip Code Transaction ID: A2017-1464845 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464539 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 155.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 757.44 Other (specify) 280.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 68 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2017 City State Zip Code Transaction ID: A2017-1464749 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 170.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 830.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ingram, Ronald, , , Date of Receipt Mailing Address 100 NE Adams 2017 Kel. Tanjung Uncang Kec. Batu Aji City Zip Code State Transaction ID: A2017-1464181 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2017 PO Box 500 City State Zip Code Transaction ID: A2017-1465218 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division Communications Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 345.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 69 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name James, Danielle, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1465207 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 67.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jennings, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1464499 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464280 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 173.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 844.56 Other (specify) 301.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 70 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 222 Moo 11 Ban Khai-Nong La Lok Ro 2017 City Zip Code Transaction ID: A2017-1464088 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464120 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Denise, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465141 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 883.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 71 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465164 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Talent Development Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 217.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1464664 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 188.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 920.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jones, Reese, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1464900 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg & Sales Support Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 266.84 Other (specify) 286.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 72 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149814 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Controller Business Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 247.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City State Zip Code Transaction ID: A2017-1149841 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 272.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kaiser, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464338 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 149.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 73 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2017 City Zip Code State Transaction ID: A2017-1465189 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kauk, Zachary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464449 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464498 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 62.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 304.32 Other (specify) 895.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Keith, Richard, , , Date of Receipt Mailing Address 300 Hamilton Blvd. Suite 101 2017 City State Zip Code Transaction ID: A2017-1464685 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keough, Colleen, , , Date of Receipt Mailing Address 501 SW Jefferson 05 2017 City State Zip Code Transaction ID: A2017-1464475 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 435.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kibby, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2017 No. 16 Chaoyangmenwai Ave City Zip Code State Transaction ID: A2017-1464572 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 217.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1076.46 Other (specify) 312.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 75 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Klister, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464620 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knecht, Steven, J, , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1464648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464157 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Labor Relations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 804.93 Other (specify) 264.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464166 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 58.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 285.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kobrak, Harold, Date of Receipt Mailing Address 100 NE Adams 2017 Tokyo-To Setagaya-ku City State Zip Code Transaction ID: A2017-1464566 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 166.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Sales Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 824.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kozlowski, Patrick, , , Date of Receipt Mailing Address 330 Sw Adams 2017 City State Zip Code Transaction ID: A2017-1464683 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 206.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **BCP Innovation Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1022.12 Other (specify) 432.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 77 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1464269 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 94.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Solutions Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 468.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kumpf, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464365 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kupferschmid, Jason, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1464771 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 75.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.48 Other (specify) 250.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kurumbailmadam, Ravisankar, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1464073 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SC & Innovation Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464644 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465239 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 161.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 792.92 Other (specify) 678.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 79 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lang, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464534 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 155.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 770.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lantz, Jason, , , Date of Receipt Mailing Address 500 N. Morton Ave 2017 City State Zip Code Transaction ID : A2017-1464612 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 72.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 357.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lash, Susan, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1464807 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 139.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Ethics & Compliance Reg Prg Mg Receipt For: Aggregate Year-to-Date ▼ Primary General 681.98 Other (specify) 368.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 80 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lavezzi, Bryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464693 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 71.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 355.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149815 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149842 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 121.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LeBaron, Elizabeth, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2017 City Zip Code State Transaction ID: A2017-1464997 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lima Silva, Cleber, , , Date of Receipt Mailing Address 97 E. Congress St. 05 2017 City State Zip Code Transaction ID: A2017-1465172 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1464262 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 51.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 254.97 Other (specify) 311.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 82 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lohmeier, Lawrence, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1464078 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MacLeod, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464958 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 113.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Matls Requirements Plng Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 546.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Manning, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464629 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 213.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 83 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149829 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 65.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General 634.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149856 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 65.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 699.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Maquet, Josh, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1464504 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 230.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 84 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joseph, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1464666 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Large Engine Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Alexander, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1464968 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Arthur, , , Date of Receipt Mailing Address USA-CA-Kearny Mesa 2017 City State Zip Code Transaction ID: A2017-1465265 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 260.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 85 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martinez, Angel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464231 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Process Owner Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 228.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mason, David, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City State Zip Code Transaction ID: A2017-1464495 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 55.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 273.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 P.O. Box 1037 City State Zip Code Transaction ID: A2017-1465037 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 193.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 955.45 Other (specify) 258.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 86 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheson, Sean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1465036 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions Owner III Receipt For: Aggregate Year-to-Date ▼ Primary General 247.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 Uozumi-Cho City State Zip Code Transaction ID: A2017-1464801 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 110.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Strategy Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 547.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Maxwell, John, , , Date of Receipt Mailing Address 2045 West Pike Street 2017 City State Zip Code Transaction ID: A2017-1464154 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 58.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 282.86 Other (specify) 218.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 87 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCallen, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465240 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 05 2017 **Building CV** City State Zip Code Transaction ID: A2017-1464516 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 201.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 984.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464874 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 1034.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:					PAGE	8	38 OF	= 1	56
Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)									
	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKain, Rachel, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 31 2017 City State Zip Code Transaction ID: A2017-1465027 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 107.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 527.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McKay, Heather Rae, R, , Date of Receipt Mailing Address 812 W. Washington St 05 2017 City State Zip Code Transaction ID: A2017-1464853 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 45.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Business Process Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKneight, Paul, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1464628 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 203.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 89 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464409 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager I-Tax & Trade COE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1465030 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 42.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 209.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Menke, Charles, , , Date of Receipt Mailing Address State Rt. 116 E. 2017 Spring Creek Rd City State Zip Code Transaction ID: A2017-1464482 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Machine Development Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 190.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 90 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mettler, Chris, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City State Zip Code Transaction ID: A2017-1464756 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Michael, David, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464542 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miles, Sonya, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464371 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464758 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Tax Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Miller, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID : A2017-1464761 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Dealer Lrng Division Mg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464397 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 247.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1207.22 Other (specify) 347.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 92 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitchell, Anthony, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2017 City Zip Code State Transaction ID: A2017-1464843 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 65.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464408 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 135.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 659.39 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mohrman, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465245 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 81.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 402.07 Other (specify) 282.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 93 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149816 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149843 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1464421 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 138.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IT Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 682.47 Other (specify) 188.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 94 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City Zip Code State Transaction ID: A2017-1464243 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 823.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465199 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 117.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 568.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg E City State Zip Code Transaction ID: A2017-1464438 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 77.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 377.19 Other (specify) 359.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 95 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Richard, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464632 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 115.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 565.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moran, Barbra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464329 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 495.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Moritz, LeAnne, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464351 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 215.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 96 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465184 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 38.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 232.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moss, Jarrod, , , Date of Receipt Mailing Address 4-H Park Road 2017 P O Box 740 City State Zip Code Transaction ID: A2017-1464179 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 143.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 696.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Murzyn, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465131 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Excellence Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 432.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 97 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srini, , , Date of Receipt Mailing Address 500 N. Morton Ave 2017 City Zip Code State Transaction ID: A2017-1464778 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 62.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 306.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City State Zip Code Transaction ID: A2017-1464989 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 284.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Govt. Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1407.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Stewart, , , Date of Receipt Mailing Address 2045 West Pike Street 2017 City State Zip Code Transaction ID: A2017-1465161 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Segment Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 397.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 98 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nagaranthal, Bhaskar, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464523 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2017 City State Zip Code Transaction ID: A2017-1464199 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 74.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 363.17 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464658 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 101.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Director Receipt For: Aggregate Year-to-Date ▼ Primary General 496.30 Other (specify) 225.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 99 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Niemeier, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465237 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 92.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 454.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2017 Tech Center Bldg K City State Zip Code Transaction ID: A2017-1464355 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 160.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 792.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Norris, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464606 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 104.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 507.38 Other (specify) 356.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nunez Abe, Jose, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464827 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 190.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mktg Center of Excellence Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 940.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neal, Edward, A,, Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID : A2017-1149817 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 82.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 811.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neal, Edward, A, Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149844 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 82.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General 894.34 Other (specify) 356.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 101 OF (check only one) **X** 11a 11b 11c 12

156 Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neil, Edwin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464579 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Excavator Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Oliphant, James, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID : A2017-1464398 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 153.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 767.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2017 PO Box 348 City State Zip Code Transaction ID: A2017-1464814 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 159.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 786.55 Other (specify) 562.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 102 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ortega, Roberto, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City Zip Code State Transaction ID: A2017-1465129 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 186.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 911.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osborn, Sheri, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2017 City State Zip Code Transaction ID: A2017-1465298 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr CAMs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 301.13 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Owens, Barrington, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1464964 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 192.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CX Innovation Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 949.19 Other (specify) 441.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464070 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 186.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 913.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Paternoga, Robert, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1464812 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Pedigo, Randy, , , Date of Receipt Mailing Address 751 International Dr 2017 City State Zip Code Transaction ID: A2017-1465059 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 60.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 296.00 Other (specify) 662.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 104 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pellette, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464829 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Perez Sandi, Francisco, , , Date of Receipt Mailing Address 560 Rehoboth Road 05 2017 City State Zip Code Transaction ID: A2017-1464496 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 79.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 383.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464513 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 47.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Staff Acct II Accountable Receipt For: Aggregate Year-to-Date ▼ Primary General 235.60 Other (specify) 543.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 105 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Petterson, Douglas, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2017 City Zip Code State Transaction ID: A2017-1464520 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pfautsch, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464630 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Philbee, Todd, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464813 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 107.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 513.25 Other (specify) 573.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pierce, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464454 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 396.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pitts, David, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464198 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 308.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Poliner, Jessica, , , Date of Receipt Mailing Address 100 NE Adams 2017 West Tower 1st Floor City State Zip Code Transaction ID: A2017-1465159 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 73.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 361.66 Other (specify) 216.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 107 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Poole, Joseph,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464336 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 170.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Potts, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1464918 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Media Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Powell, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149854 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP and Controller Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 290.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 108 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

156

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quigg, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464440 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 118.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 579.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ramalingam, Prabhakar, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1464904 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rassi, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464857 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 489.00 Other (specify) 468.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 109 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathe, Richard, , , Date of Receipt Mailing Address 3450 Executive Way 2017 City Zip Code State Transaction ID: A2017-1464626 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rathman, Carol, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464741 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 295.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Reiners, Eric, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1464468 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 160.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 110 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

156

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rider, Woody, , , Date of Receipt Mailing Address 2500 Legacy Drive 2017 City Zip Code State Transaction ID: A2017-1464505 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing C 44.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riggle, Robert, , , Date of Receipt Mailing Address 97 E. Congress St. 05 2017 City State Zip Code Transaction ID: A2017-1465155 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 64.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 318.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Rink, James, , , Date of Receipt Mailing Address 2200 Channahon Rd 2017 P O Box 504 City State Zip Code Transaction ID: A2017-1464646 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 159.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 111 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robbins, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464400 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 51.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General 253.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rochford, Michael, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID: A2017-1464675 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Emissions Regs & Conform Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 11 2017 City State Zip Code Transaction ID: A2017-1149821 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 151.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 112 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149848 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 05 2017 City State Zip Code Transaction ID : A2017-1147720 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 24.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 25 2017 City State Zip Code Transaction ID : A2017-1147732 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 24.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 263.80 Other (specify) 99.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 113 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roethler, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2017 City State Zip Code Transaction ID: A2017-1464447 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 85.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 422.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464298 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roos, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465047 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 48.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Consultant II Receipt For: Aggregate Year-to-Date ▼ Primary General 224.98 Other (specify) 550.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 114 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rous, James, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City Zip Code State Transaction ID: A2017-1464609 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 63.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 319.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2017 City State Zip Code Transaction ID : A2017-1464211 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 397.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2017 1425 K Street City Zip Code State Transaction ID: A2017-1465225 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 835.00 Other (specify) 310.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 115 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464560 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 189.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 925.33 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2017 Suite 400 City State Zip Code Transaction ID: A2017-1464367 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 220.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1095.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sale, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1465035 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 84.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 365.11 Other (specify) 494.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 116 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

156

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2017 City State Zip Code Transaction ID: A2017-1464782 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Samples, Susan, , , Date of Receipt Mailing Address 501 Cardinal Drive 2017 City State Zip Code Transaction ID: A2017-1464384 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 43.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Training Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 212.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Savage, E Jean, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID: A2017-1465064 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2080.35 Other (specify) 559.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 117 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 2017 City Zip Code State Transaction ID: A2017-1149819 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager I Project Receipt For: Aggregate Year-to-Date ▼ Primary General 305.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 2017 City State Zip Code Transaction ID: A2017-1149846 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Project Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1465134 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 202.05 Other (specify) 102.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 118 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schnelle, Tonya,,, Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2017 City Zip Code State Transaction ID: A2017-1464674 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 164.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 811.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1464412 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM ISCD Ops & Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Scott, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465222 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Communications Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 329.97 Other (specify) 574.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 119 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sears, Kevin, R,, Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464704 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 187.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director I-Export Controls** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 918.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg A City State Zip Code Transaction ID: A2017-1464050 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 221.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1082.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464360 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 459.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 120 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd P O Box 6 2017 City State Zip Code Transaction ID: A2017-1464582 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 173.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPI** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 855.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shannon, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2017 Suite 4000 City State Zip Code Transaction ID: A2017-1464102 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 201.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1464441 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 80.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 398.41 Other (specify) 254.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 121 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1465177 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 76.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shook, Barry, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464291 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Network Design Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 208.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shook, Paula, , , Date of Receipt Mailing Address 812 W. Washington St 2017 City State Zip Code Transaction ID: A2017-1464553 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 194.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 915.55 Other (specify) 312.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:					PAGE	1	22 OF		156	
	(check only one)										
		X	11a		11b		11c		12		
			13		14		15		16		17
not be sold or used by any person for the purpose of soliciting contributions dress of any political committee to solicit contributions from such committee.											

Any information copied from such Reports and Statements may or for commercial purposes, other than using the name and add NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shubert, Lindsey, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 31 City State Zip Code Transaction ID: A2017-1465188 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 33.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Marketing Comm Coord Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rodney, , , Date of Receipt Mailing Address 3701 State Road 26 East 05 2017 City State Zip Code Transaction ID: A2017-1464445 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 238.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1164.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2017 City State Zip Code Transaction ID: A2017-1464187 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 65.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Node Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 321.83 Other (specify) 337.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 123 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simison, Theodore, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City Zip Code State Transaction ID: A2017-1464591 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Skarvan, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID : A2017-1464252 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Skeels, Gregory, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464641 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 467.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 124 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City State Zip Code Transaction ID: A2017-1464544 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 82.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 406.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2017 City State Zip Code Transaction ID: A2017-1149828 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 88.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 862.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 25 2017 City State Zip Code Transaction ID: A2017-1149855 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 88.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 950.50 Other (specify) 258.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 125 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City Zip Code State Transaction ID: A2017-1464268 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 142.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Process Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 696.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Snyder, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2017 City State Zip Code Transaction ID: A2017-1464320 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing 56.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Services Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 277.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Souza Costa, Rafael, , , Date of Receipt Mailing Address USA-FL-Miami 2017 City State Zip Code Transaction ID: A2017-1465291 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Account Manager-Sales-O&G Receipt For: Aggregate Year-to-Date ▼ Primary General 279.85 Other (specify) 256.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 126 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

156

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465213 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 136.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Chief Economist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 657.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spears, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 05 2017 City State Zip Code Transaction ID: A2017-1464764 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spitznagle, Kathryn, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464557 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 261.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 127 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spruiell, Laine, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149849 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Controller International **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stafl, Lisa, , , Date of Receipt Mailing Address 9301 West 55th Street 2017 City State Zip Code Transaction ID: A2017-1465167 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Staley, Daniel, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City State Zip Code Transaction ID: A2017-1465212 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 58.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Attorney Receipt For: Aggregate Year-to-Date ▼ Primary General 282.14 Other (specify) 128.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 128 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stanley, James, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2017 City State Zip Code Transaction ID: A2017-1464973 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 96.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technology Supervisor** Receipt For: Aggregate Year-to-Date ▼ Primary General 469.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Starr, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2017 City State Zip Code Transaction ID : A2017-1464316 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Steidinger, Theodore, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1464263 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 101.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 497.87 Other (specify) 248.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 129 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464275 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Janet, , , Date of Receipt Mailing Address 501 SW Jefferson 2017 City State Zip Code Transaction ID: A2017-1465214 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Actg Consultant I Legal Entity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 285.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464561 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 135.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 666.37 Other (specify) 611.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 130 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stiles, Sally, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464708 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director of Global Tax & Trade Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stimeling, John, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID: A2017-1464888 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 50.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 237.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stockwell, Tammi, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1465075 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 113.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 555.85 Other (specify) 580.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 131 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464863 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 549.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 Eastfield City State Zip Code Transaction ID : A2017-1464668 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager IPSD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strong, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464526 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 235.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1161.93 Other (specify) 763.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 132 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City State Zip Code Transaction ID: A2017-1464345 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 199.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 976.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464653 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager CES & KEC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 627.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Swick, William, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2017 City Zip Code State Transaction ID: A2017-1464372 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 77.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 384.86 Other (specify) 404.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 133 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City State Zip Code Transaction ID: A2017-1464923 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 116.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 569.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Terpstra, Daniel, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID : A2017-1464462 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 174.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 863.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464439 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 341.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 134 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2017 City Zip Code State Transaction ID: A2017-1464796 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Insurance Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 2175.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tilly, Bradley, , , Date of Receipt Mailing Address 97 E. Congress St. 2017 City State Zip Code Transaction ID: A2017-1464133 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 86.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Mgr - Global Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tobias, Charles, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464487 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 91.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 440.70 Other (specify) 612.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 135 OF 156 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Toher, Susan, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2017 City Zip Code State Transaction ID: A2017-1464223 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1464736 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 164.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 811.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 Kingsbury 2017 City State Zip Code Transaction ID: A2017-1464346 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 72.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 358.72 Other (specify) 336.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 136 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 31 2017 City Zip Code State Transaction ID: A2017-1464257 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Executive Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Utley, Tana, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2017 Dock 55 City State Zip Code Transaction ID : A2017-1464617 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Valek, Robert, , , Date of Receipt Mailing Address 751 International Dr 2017 City State Zip Code Transaction ID: A2017-1465055 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 41.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.47 Other (specify) 874.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 137 OF (check only one) **X** 11a 11b 11c 12

156 Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464117 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 130.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 642.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varela, Antonio, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464899 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 209.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vassallo, Gina, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464239 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 168.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 832.62 Other (specify) 341.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 138 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Verpraet, Annette, R,, Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464358 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Division Communications Lead** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. VeZain, Darilynn, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1465146 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 43.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 212.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464715 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 337.93 Other (specify) 211.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 139 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walenta, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2017 City Zip Code State Transaction ID: A2017-1464593 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 96.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 474.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 2017 Suite 101 City State Zip Code Transaction ID: A2017-1464314 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 205.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 995.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walton, David, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City State Zip Code Transaction ID: A2017-1464431 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1419.64 Other (specify) 652.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s)

FC	OR LINE	NUMBER	: PAGE	E 140 OF	156				
(check only one)									
[X 11a	11b	11c	12					
	13	14	15	16 F	17				

for each category of the **Detailed Summary Page** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ward, Timothy, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465092 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Warning, Kenneth, , , Date of Receipt Mailing Address 12301 Old Galena Road 05 2017 City State Zip Code Transaction ID: A2017-1464954 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 42.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weer, Noel, S, , Date of Receipt Mailing Address 27Th & Pershing Rd 2017 City State Zip Code Transaction ID: A2017-1464273 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 67.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales & Oprns Plng Coord 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 328.01 Other (specify) 210.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 141 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2017 City Zip Code State Transaction ID: A2017-1465234 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. West, Christopher, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 Building CV Dock CV City State Zip Code Transaction ID: A2017-1464175 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 49.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Supply Supervisor III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 244.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weston, Douglas, , , Date of Receipt Mailing Address 12301 Old Galena Road 2017 City State Zip Code Transaction ID: A2017-1464633 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 541.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 142 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address 3701 State Road 26 East 2017 City Zip Code State Transaction ID: A2017-1464305 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whitehead, John, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 05 2017 City State Zip Code Transaction ID: A2017-1464941 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whiteley, Douglas, , , Date of Receipt Mailing Address 90 Ceramic Tile Dr 2017 City State Zip Code Transaction ID: A2017-1464349 NC Morganton 28655 Amount of Each Receipt this Period FEC ID number of contributing C 176.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 880.50 Other (specify) 326.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 143 OF 156 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wick, Jennifer, , , Date of Receipt Mailing Address 100 Ne Adams 2017 City Zip Code State Transaction ID: A2017-1464509 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 368.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2017 Bldg F City State Zip Code Transaction ID : A2017-1464731 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 423.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wignall, Troy, , , Date of Receipt Mailing Address 500 N Morton Ave 2017 City State Zip Code Transaction ID: A2017-1465011 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 64.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Operations IT Coordinator Receipt For: Aggregate Year-to-Date ▼ Primary General 296.69 Other (specify) 225.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 144 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiklund, Robert, , , Date of Receipt Mailing Address 100 NE Adams Central Boulevard Blythe Valley Pa 2017 City Zip Code State Transaction ID: A2017-1464514 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Credit Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilcoxen, Sanford, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 PO Box 1430 City State Zip Code Transaction ID : A2017-1464271 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 55.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Williamson, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464289 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 63.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General 372.45 Other (specify) 168.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 145 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkel, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1464614 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Compliance & Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 262.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 2017 **Building CV** City State Zip Code Transaction ID: A2017-1465174 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 51.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 252.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 11 2017 City State Zip Code Transaction ID: A2017-1149809 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 73.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 721.31 Other (specify) 177.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 146 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 2017 City Zip Code State Transaction ID: A2017-1149836 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 73.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 795.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2017 City State Zip Code Transaction ID: A2017-1464959 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464724 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 157.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 765.63 Other (specify) 281.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 147 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

156

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yordy, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2017 City Zip Code State Transaction ID: A2017-1465020 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 44.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 217.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Younessi, Ramin, , , Date of Receipt Mailing Address 6800 Doerr Lane 2017 City State Zip Code Transaction ID: A2017-1465204 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2017 City State Zip Code Transaction ID: A2017-1464985 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 561.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 148 OF (check only one) **X** 11a 11b 11c

156 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2017 City Zip Code State Transaction ID: A2017-1464281 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zircher, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2017 Bldg E City State Zip Code Transaction ID: A2017-1464410 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue 2017 P O Box 340001 City Zip Code State Transaction ID: A2017-1464803 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 516.67 SUBTOTAL of Receipts This Page (optional)..... 50256.38 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 X 23 26 27
	Detailed Summary Page	28a	28b 28c 29 30b
Any information copied from such Reports and Statem			
or for commercial purposes, other than using the nam	ne and address of any politic	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committ	00	
/ The Caterplilar Inc. Employee Folit	icai Action Commit		
Full Name (Last, First, Middle Initial)			Data of Dishumannant
A. Walters for Congress			Date of Disbursement
Mailing Address 9070 Irvine Center Drive #150			05 05 2017
,	State Zip Code		FEC Identification Number
	CA 92618		
Purpose of Disbursement Contribution		011	C C00546853
Candidate Name		Category/	Transaction ID : B647059 Amount of Each Disbursement this Period
Walters, Mimi, , ,		Type	
	nent For: 2018		5000.00
	Primary General Other (specify) ▼		
State: CA District: 45	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
B. Jim Costa for Congress			Date of Disbursement
Moiling Address 2007 M.D. Hard Access 4055			05 19 2017
Mailing Address 2037 W Bullard Avenue #355			05 19 2017
,	State Zip Code		FEC Identification Number
Fresno Purpose of Disbursement	CA 93711		0.00004000
Contribution	C C00391029		
Candidate Name	Category/	Transaction ID : B648034 Amount of Each Disbursement this Period	
Costa, Jim, , ,			
Office Sought: House Disbursement For: 2018			3000.00
Senate Primary General Other (specify)			
State: CA District: 16	cuio (opcony)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Carper for Senate			Date of Disbursement
Mailing Address PO Box 2882			05 05 2017
,	State Zip Code		FEC Identification Number
Wilmington Purpose of Disbursement	DE 19805		C C00349217
Contribution		011	C C00349217 Transaction ID : B647001
Candidate Name		Category/	Amount of Each Disbursement this Period
Carper, Tom, , ,		Туре	2500.00
Consts	nent For: 2018 Primary General		2500.00
X Senate Primary General Other (specify)			П.,
State: DE District:			Memo Item
			40700.00
SUBTOTAL of Disbursements This Page (optional)		·····•	10500.00
TOTAL This Period (last page this line number only).			

ITEMIZED DISBURSEMENTS	MIZED DISPLIPSEMENTS Use separate schedule(s) (check			FOR LINE NUMBER: PAGE 150 OF 156 check only one)	
		category of the Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b	
Any information copied from such Reports and Staten or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)		0. a.i, pointot		22	
The Caterpillar Inc. Employee Polit	tical Action	on Committe	ee		
Full Name (Last, First, Middle Initial)				Data of Dishursomert	
A. Drew Ferguson for Congress				Date of Disbursement	
Mailing Address P.O. Box 387				05 19 2017	
West Point	State GA	Zip Code 31833		FEC Identification Number	
Purpose of Disbursement Contribution			011	C C00607838 Transaction ID : B648032	
Candidate Name Ferguson, Drew, , ,			Category/	Amount of Each Disbursement this Period	
Office Sought: House Disbursen	ment For: 2 Primary	2018 General	Туре	5000.00	
President State: GA District: 03	Other (spec	sify) ▼		Memo Item	
Full Name (Last, First, Middle Initial)					
B. Handel for Congress				Date of Disbursement	
Mailing Address 4010 Old Milton Parkway				05 19 2017	
,	State GA	Zip Code 30005		FEC Identification Number	
Alpharetta GA 30005 Purpose of Disbursement Contribution 011				C C00633362	
Candidate Name Category/				Transaction ID: B648022 Amount of Each Disbursement this Period	
Handel, Karen, , , Type					
	ment For: 2 Primary	2017 General		5000.00	
	Other (spec			Memo Item	
Full Name (Last, First, Middle Initial) C. Guthrie for Congress				Date of Disbursement	
Mailing Address PO Box 9639				05 19 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
,	State	Zip Code		FEC Identification Number	
Bowling Green Purpose of Disbursement	KY	42102		C C00445023	
Contribution			011	C C00445023 Transaction ID : B648033	
Candidate Name			Category/	Amount of Each Disbursement this Period	
Guthrie, Brett, , ,			Type	5000 00	
<u> </u>	ment For: 2 Primary	:018 General		5000.00	
President State: KY District: 02	Other (spec	cify) ▼		Memo Item	
SUBTOTAL of Disbursements This Page (optional)				15000.00	
TOTAL This Period (last page this line number only)					

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	ne and address of any politic	cal committee to	
Full Name (Last, First, Middle Initial) A. Hal Rogers for Congress Mailing Address P.O. Box 1214			Date of Disbursement 05 05 05 05 05 05
Somerset Purpose of Disbursement Contribution Candidate Name Rogers, Harold, , , Office Sought:	State Zip Code KY 42502 ment For: 2018 Primary General Other (specify) ▼	011 Category/ Type	FEC Identification Number C C00116632 Transaction ID: B648035 Amount of Each Disbursement this Period 5000.00 Memo Item
Kansas City Purpose of Disbursement Contribution Candidate Name Graves, Sam, , , Office Sought: House Disbursem Senate	State Zip Code MO 64108 ment For: 2018 Primary General Other (specify)	011 Category/ Type	Date of Disbursement M M J D D J 2017 FEC Identification Number C C00359034 Transaction ID: B648036 Amount of Each Disbursement this Period 2500.00 Memo Item
Ballwin Purpose of Disbursement Contribution Candidate Name Wagner, Ann, , , Office Sought: House Disbursen Senate	State Zip Code 63022 ment For: 2018 Primary General Other (specify)	011 Category/ Type	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)			10000.00

SCHEDULE B (FEC Form 3X)	Llee congrete cohodule(e)	FOR LINE I	TOMBETT.	AGE 152 OF 156
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 x 23 26	27
	Detailed Summary Page	28a	28b 28c 29	
Any information copied from such Reports and Statem	nents may not be sold or use	ed by any perso	on for the purpose of solicit	ing contributions
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Polit	ical Action Committe	ee		
Full Name (Last, First, Middle Initial)				
A. Virginia Foxx for Congress			Date of Disbursement	
Mailing Address PO Box 2676		05 19	2017	
Mailing Address 1 0 Dox 2010			00 10	2017
,	State Zip Code		FEC Identification Number	er
Boone Purpose of Disbursement	NC 28607		C 000000740	
Contribution		011	C C00386748	10001
Candidate Name		Category/	Transaction ID: B64 Amount of Each Disburse	
Foxx, Virginia, A, ,		Type		5000.00
0	nent For: 2018 Primary General			5000.00
	Other (specify)		Memo Item	
State: NC District: 05			I Wemo item	
Full Name (Last, First, Middle Initial)				
B. McHenry for Congress			Date of Disbursement	
Mailing Address PO Box 2165			05 19	2017
,	State Zip Code NC 28053		FEC Identification Number	er
Purpose of Disbursement	20033		C C00393629	
Contribution	Transaction ID : B64	48025		
Candidate Name	Amount of Each Disburse	ement this Period		
McHenry, Patrick, , , Type Office Sought: W House Disbursement For: 2018				5000.00
Senate Disbursement For: 2018 Senate Primary General			7 7	
President		Memo Item		
State: NC District: 10				
Full Name (Last, First, Middle Initial) C. Adrian Smith for Congress			Date of Disbursement	
- Tanan Chillin for Congress			M M / D D /	YYYY
Mailing Address 3321 Avenue I Suite 6			05 19	2017
City	State Zip Code		FEC Identification Number	
Scottsbluff	NE 69361			31
Purpose of Disbursement Contribution		011	C C00412890	
Candidate Name		Category/	Transaction ID: B64 Amount of Each Disburse	
Smith, Adrian, , ,		Type	7 illiount of Each Bloodie	
Conoto	nent For: 2018			2500.00
Senate			п	
State: NE District: 03			Memo Item	
				12500.00
SUBTOTAL of Disbursements This Page (optional)		·····•		12500.00
TOTAL This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X)	lles sementes selectiv	FOR LINE	NUMBER: PAGE 153 OF 156
TEMIZED DISBURSEMENTS	Use separate schedu for each category of	the Collection	<i>`</i>
	Detailed Summary Pa		22 x 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem	ents may not be sold		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Comi	mittee	
Full Name (Last, First, Middle Initial)			T
A. Heller for Senate			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 371907			05 19 2017
City	State Zip Code		
*	NV 89137		FEC Identification Number
Purpose of Disbursement			C C00494229
Contribution Candidate Name		011	Transaction ID : B648029
Heller, Dean, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018	Турс	5000.00
	Primary Gene	eral	
	Other (specify) ▼		Memo Item
State: NV District: Full Name (Last, First, Middle Initial)			_
3. Steve Chabot for Congress			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address 3030 Harrison Ave.			05 19 2017
,	State Zip Code		FEC Identification Number
Cincinnati Purpose of Disbursement	OH 45211		C C00301838
Contribution	Transaction ID : B648026		
Candidate Name Category/			Amount of Each Disbursement this Period
Chabot, Steven, J, ,			2000.00
Office Sought: House Disbursement For: 2018 Senate Primary General			2000.00
Primary General Other (specify)			П м и
State: OH District: 01			Memo Item
Full Name (Last, First, Middle Initial)			
Cole for Congress			Date of Disbursement
Mailing Address P.O. Box 722256			05 19 2017
City Norman	State Zip Code OK 73070		FEC Identification Number
Purpose of Disbursement	70070		C C00379735
Contribution		011	Transaction ID : B648030
Candidate Name		Category/	Amount of Each Disbursement this Period
Cole, Tom, J, , Office Sought: House Disbursem	nent For: 2018	Type	1000.00
	Primary Gene	eral	4 4
	Other (specify) ▼		Memo Item
State: OK District: 04			ш
SUBTOTAL of Disbursements This Page (optional)			8000.00
		<u>, </u>	
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Lie compute colordula(a)	FOR LINE I	NUMBER: PAGE 154 OF	156
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only 21b	one) 22 x 23 26 27	
	Detailed Summary Page	28a	28b 28c 29 30b	
Any information copied from such Reports and Statem	nents may not be sold or us	ed by any perso	on for the purpose of soliciting contributions	
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Politics	ical Action Committe	ee		
Full Name (Last, First, Middle Initial)				
A. Bill Shuster for Congress			Date of Disbursement	
Mailing Address PO Box 27			05 19 2017	
Mailing Address 1 0 Box 27			00 10 2011	
,	State Zip Code		FEC Identification Number	
Hollidaysburg Purpose of Disbursement	PA 16648		0.00004005	
Contribution		011	C C00364935	
Candidate Name		Category/	Transaction ID : B648037 Amount of Each Disbursement this Perio	od
Shuster, William, , ,		Type	5000.00	7
0	nent For: 2018 Primary General		5000.00	_
	Other (specify) ▼		Maria Hara	
State: PA District: 09			Memo Item	
Full Name (Last, First, Middle Initial)				
B. Marsha Blackburn for Congress Inc	C.		Date of Disbursement	
Mailing Address PO Box 3750			05 19 2017	
,	State Zip Code TN 37024		FEC Identification Number	
Purpose of Disbursement	37024		C C00376939	
Contribution	Transaction ID : B648039			
Candidate Name		Category/	Amount of Each Disbursement this Period	bd
Blackburn, Marsha, , , Type Office Sought:			2500.00	П.
Senate Session Fig. 2018 Senate Primary General			4 4	
President		Memo Item		
State: TN District: 07 Full Name (Last, First, Middle Initial)				
C. Kenny Marchant for Congress			Date of Disbursement	
			M M / D D / Y Y Y Y	
Mailing Address PO Box 110187			05 19 2017	
City	State Zip Code		FEC Identification Number	
Carrollton	TX 75011			
Purpose of Disbursement Contribution		011	C C00393348	
Candidate Name		Category/	Transaction ID: B648040 Amount of Each Disbursement this Perio	nd
Marchant, Kenny, , ,		Type		
Consts	nent For: 2018		2500.00	
Senate			П., .	
State: TX District: 24			Memo Item	
			10000.00	$\overline{}$
SUBTOTAL of Disbursements This Page (optional)		<u> </u>	10000.00	_
TOTAL This Period (last page this line number only).				

ľ

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Pol	itical Action Committe	ee 	
Full Name (Last, First, Middle Initial)	<u> </u>		Date of Dishumannari
A. US Chamber of Commerce PAC			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mailing Address 1615 H Street NW	T-:		05 19 2017
City Washington	State Zip Code 20062		FEC Identification Number
Purpose of Disbursement Contribution		011	C Transaction ID : B648023
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburse Senate	ement For: 2017 Primary General	71: -	5000.00
President X State: District:	Other (specify) ▼ Not Applicable	9	Memo Item
Full Name (Last, First, Middle Initial)			
B. Prosperity Action Inc.		Date of Disbursement	
Mailing Address 320 1st Street SE			05 19 2017
City Washington	State Zip Code DC 22314		FEC Identification Number
Purpose of Disbursement Contribution	C C00377689		
Candidate Name Candidate Name Category/ Type			Transaction ID: B648028 Amount of Each Disbursement this Period
Office Sought: House Disburse Senate	Office Sought: Disbursement For: 2017		
President State: District:	Other (specify) Not Applicable	e	Memo Item
Full Name (Last, First, Middle Initial) C. Friends of John Barrasso			Date of Disbursement
Mailing Address PO Box 52008			05 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Casper	State Zip Code WY 82605		FEC Identification Number
Purpose of Disbursement Contribution 011			C C00436386 Transaction ID : B648038
Candidate Name Category/ Type			Amount of Each Disbursement this Period
Office Sought: House Disburse	ement For: 2018		2500.00
X Senate President State: WY District:	Primary General Other (specify) ▼		Memo Item
			10000 00
SUBTOTAL of Disbursements This Page (optional).			10000.00
TOTAL This Period (last page this line number only	/)		76000.00

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE N (check only 21b 28a	-
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	tical Action Committe	ee	
Full Name (Last, First, Middle Initial) A. Beach for Senate Mailing Address 3100 Brierfield Road	Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
City Alpharetta Purpose of Disbursement P-2018 State Senate 21 GA Candidate Name	State Zip Code GA 30004	011 Category/	FEC Identification Number C Transaction ID: B646999 Amount of Each Disbursement this Period
x Senate	ment For: 2018 Primary General Other (specify)	Type	1000.00 Memo Item
Full Name (Last, First, Middle Initial) B. Ed Gillespie for Governor Mailing Address P.O. Box 71596			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Richmond VA 23255 Purpose of Disbursement P-2017 Governor VA Candidate Name Gillespie, Ed, , ,			FEC Identification Number C Transaction ID: B648041 Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2017 Primary General Other (specify)	1,1,00	2500.00 Memo Item
Full Name (Last, First, Middle Initial) C. Mailing Address			Date of Disbursement
City Surpose of Disbursement	State Zip Code		FEC Identification Number
Candidate Name Category/ Type			Amount of Each Disbursement this Period
	nent For: Primary General Other (specify)		Memo Item
SUBTOTAL of Disbursements This Page (optional)		······	3500.00
TOTAL This Period (last page this line number only)			3500.00